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(71) Applicant : **TEAC CORPORATION**
3-7-3, Naka-cho
Musashino-shi Tokyo (JP)

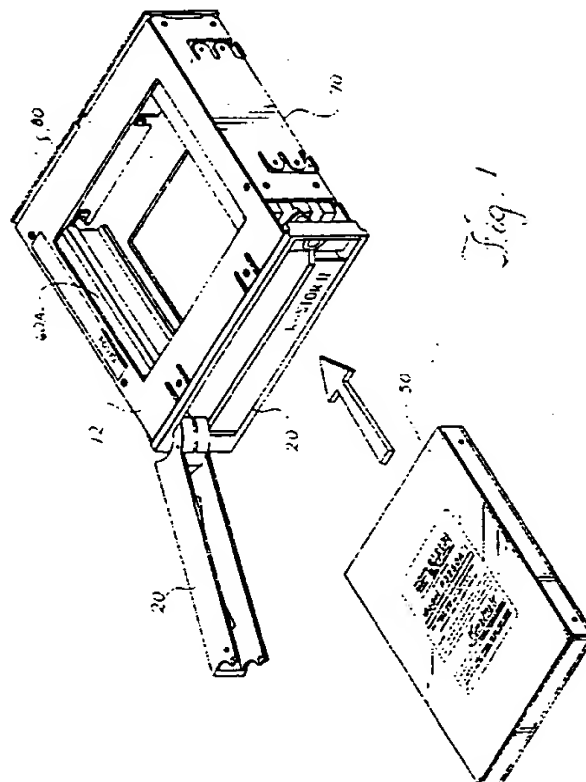
(71) Applicant : **Pont Peripherals Corporation**
912 West Maude Avenue
Sunnyvale, California 94086 (US)

(72) Inventor : **Kaczeus, Steven L.**
1319 Chandon Court,
San Jose California 95125 (US)
Inventor : **McKnight, Thomas**
15860B Winchester Boulevard
Los Gatos California 95030 (US)
Inventor : **Edwards, Roy J.**
215 Montclair Road
Los Gatos California 95030 (US)

(74) Representative : **Cross, Rupert Edward Blount**
et al
BOULT, WADE & TENNANT
27 Fumival Street
London EC4A 1PQ (GB)

(54) **Hot-swappable multi-cartridge docking module.**

(57) A docking module for removable disk drives provides, in one embodiment, space for two such disk drives within a standard half-height bay. The docking module provides cammed insertion and removal together with hot swapability of disk drives. Alternative embodiments provide ten or more disk drives at a density of two drives per half-height bay.



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RELATED APPLICATION

This application is a continuation-in-part of U.S. Patent Application S.N. 07/764,590, filed September 24, 1991, entitled High Capacity, Low Profile Disk Drive System, which is incorporated herein by reference.

FIELD OF THE INVENTION

This application relates to disk drives generally, and particularly relates to docking modules for removable disk drives.

BACKGROUND OF THE INVENTION

Mass storage requirements for computers have matured substantially since the introduction of the personal computer. In the current computing environment, whether for large systems or personal computers, typical requirements for mass storage devices are in the hundreds of megabytes to several gigabytes. In conjunction with such mass storage requirements are the data security issues which require that these large mass storage devices be backed up in one way or another to ensure that there is minimal loss of data even in the event of a system failure.

There are several ways to achieve these goals. A conventional approach for the mass storage device is one or more fixed disk drives permanently mounted within a chassis. A typical approach for data backup is a tape drive providing archival storage. In some high end systems, such as file servers, it is known to provide mirrored drives, in which two functionally identical drives are installed with one drive serving as the backup to the other drive. In many such systems, a tape backup is also provided to provide archival storage.

There are a number of difficulties with these approaches to mass storage and backup devices. One difficulty with permanently installed drives is that, in the event of a failure, the entire system must be taken down to replace the drive; even if the system includes mirrored drives, it is generally not recommended to disconnect a permanently installed drive while the system is powered up. Part of the problem relates to the fact that the drives are installed within the cabinet, and are not readily accessible without substantial disassembly of the system.

A second difficulty involves the availability of multiple drive bays for a system. In a conventional system, the number of drive bays available for mass storage devices can be fairly limited. While oversized cabinets have been built to accommodate the desire for multiple, large drives, the trend toward smaller, more compact systems is at odds with the desire for large storage capacities.

A third difficulty with permanently installed drives

is that the capacity is limited to a specific number of megabytes. Even if a large capacity is initially provided, a truism in the industry is that capacity will eventually be reached.

A fourth difficulty relates to problems with conventional archival storage devices. Tape backups generally provide inexpensive but slow data storage, where data retrieval times are measured in minutes rather than milliseconds. While this delay is not necessarily bad in every instance, it is certainly undesirable in many applications. In addition, the accuracy of tape as a media is frequently in question.

Finally, a fifth difficulty relates to portability of the data. Permanently installed drives offer little portability, and a failure of another component in the system using exclusively permanently installed drives renders the entire system useless and the data inaccessible. While tape drives offer some solution to this problem, the effort in reinstalling a significant amount of software from tape onto a machine not the source of that data frequently makes the process so slow and inefficient as to be unworkable.

Removable media drives are known in the industry, and offer some limited success in overcoming a few of the foregoing limitations of conventional prior art systems. For example, SyQuest Technology offers cartridge drives in which the media can be removed from the remainder of the drive. While this approach does offer the ability to expand the capacity of a drive, the media in a SyQuest cartridge is readily exposed to the environment and can easily become contaminated from such exposure, rendering the cartridge useless. In addition, Bernoulli drives offer removable media, but suffer from slow access time and limited reliability. In addition, none of these devices can be readily hot swapped, and each of them has, historically, taken up at least a half-height drive bay for a single device. Importantly, the limited storage capacity of these devices makes them unsatisfactory as a primary drive or, in at least a number of cases, a backup device.

The assignee of the present invention has, in the past, offered a solution to some, but not all, of the foregoing limitations of the prior art. The aforementioned U.S. Patent Application S.N. 07/764,590 filed September 24, 1991, discloses a removable disk drive of sufficient capacity to be installed as either a primary drive, a secondary drive, or a backup device. Moreover, the unit offers relatively fast access times, unlimited expansion of total capacity through the use of multiple cartridges, and shirt pocket portability. However, the device disclosed there did not include means for hot swapping of drives; that is, exchanging drives while the system is still operating without loss of data, and also provides only a single cartridge per half-height bay. In addition, while the docking module provided there operates well for many users, a method for simply ensuring that the drive is fully installed

or disconnected is desirable.

As a result, there has been a need for a removable cartridge drive which improves upon the foregoing limitations of the prior art.

SUMMARY OF THE INVENTION

Embodiments of the present invention substantially eliminate, or at the very least improves upon, each of the foregoing limitations of the prior art. These embodiments comprise a docking module which co-operates with the disk drive disclosed in U.S. Patent Application S.N. 07/764,590, mentioned above, to provide a disk drive subsystem with hot swappability, multiple drives in a single half-height bay, and a means for positive insertion and removal of the drive.

In particular, the drive docking module of the present invention, in a first embodiment, provides a half-height structure having two drive bays, each capable of receiving a disk drive constructed in accordance with the '590 application noted above. In addition, a tri-state bus arrangement is provided so that either or both of the dual drives can be added to the system or removed from the system without requiring the rest of the system to be shut down.

Further, a positive insertion and removal structure is provided for ensuring that the drives are fully and correctly inserted and for simplifying the removal of a drive from the module. The insertion and removal structure cooperates with the electronics to assist in providing the hot swappability mentioned above, by causing power to be disconnected to the drive before the drive is actually disconnected from the backplane connector of the drive module.

In other embodiments of the present invention, various arrangements are shown in which a number of drives can be inserted into a docking module constructed in accordance with the present invention. In particular, these other arrangements allow for two such drives per half-height bay, but are several such bays high, allowing for as many as ten or more such disk drives within a single docking module. These configurations are particularly suited to RAID applications.

Thus embodiments of the present invention provide a docking module which provides hot swappability of a disk drive within a computer system.

Embodiments of the present invention also provide a docking module having the capacity for multiple thin disk drives within the space of a half-height bay.

Embodiments of the present invention also provide a docking module capable of supporting a plurality of disk drives at a density of at least two drives per half-height bay.

In addition, embodiments of the present invention provide a means for positive insertion and removal of a disk drive from a bay within a docking module.

These and other embodiments of the present invention may be better appreciated by the following Detailed Description of the Invention, taken together with the attached Figures.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows in perspective view a two drive docking module embodying the present invention.

Figure 2 shows in exploded perspective view the two drive docking module of Figure 1.

Figures 3A and 3B show in top plan view the docking module of Figures 1 and 2, and in particular show the relationship between the door and the slide in the open and closed positions, respectively.

Figure 4 shows in cross-sectional side view the docking module of Figures 1-3 taken along the line A-A of Figure 3B.

Figure 5 shows in detail view the relationship between the end of the slide, the disk drive and the optical switch.

Figure 6 shows in schematic block diagram form the circuitry for providing hot swappability of the drive of Figure 1.

Figures 7A-7B show an alternative embodiment of a docking module for use with five drives.

Figure 8 shows an alternative embodiment of a docking module for use with ten drives.

DETAILED DESCRIPTION OF THE PRESENTLY PREFERRED EMBODIMENTS

Referring now to Figures 1 and 2, a half-height docking module 10, designed to be installed within a housing such as a computer chassis or an external housing (not shown), includes a pair of doors 20A-B in a bezel 30. When either door 20 is opened a slot 40 suitable for receiving a disk drive 50 constructed in accordance with U.S. Patent Application S.N. 07/764,590, filed September 24, 1991 and commonly assigned with the present application, is exposed. The drive 50 is inserted through the door into a pair of guides 60A and 60B, which are supported by a housing 70 enclosed at the top by a cover 72. The housing 70 supports, at the back thereof, a printed circuit board 80. The guides 60A-B extend from the door 20 to the printed circuit board 80, on which are connectors 90A-B designed to mate with a connector 92 on the abutting part of the drive 50 to provide power to the drive and communications between the PCB 80, a conventional host system (not shown) and the drive 50.

Each of the doors 20A-B connects to an associated slide 100 by means of a link 110, held in place by pins 112. The slide 100 in turn moves within a slot 120 in the left guide 60A. A tab 122, positioned slightly near but not quite at the end of the slide 100, extends out from the slide sufficiently to engage the drive 50

when the associated door 20 is opened, as will be appreciated in greater detail hereinafter. A lock 124 may be provided to engage a tab on the doors 20 to prevent unauthorized removal of the drives 50.

As best seen from Figure 2, the printed circuit board 80 may be better appreciated. In addition to the connectors 90A-B, an optical switch 130 comprised of an LED/photosensor pair may be seen near the end of each of the slides 100. When the door 20 is fully closed and a drive 50 is fully installed within the docking module 10, the back end 140 of the slide 100 will extend between the LED and its associated photosensor. As will be appreciated hereinafter in connection with Figures 5 and 6, the optical switch 130 is actuated by a slight forward movement of the slide 100 caused by the initial opening of the door, and before the tab 120 engages the drive 50 sufficiently to cause the connector on the back of the drive 50 to be disconnected from the connector 90 on the PCB 80. In this manner the drive 50 may be electrically disconnected from the host system bus prior to any physical disconnection.

Similarly, it will be appreciated that, when the drive 50 is inserted through the slot 40 and into the guides 60A-B, pushing on the door 20 gently forces the drive 50 into the connector 90 on the PCB 80. Momentarily after that connection is made, continued movement of the door 20 causes the end of the slide 100 to be inserted between the LED and photosensor of the optical switch 130. This, in turn, allows the host system to recognize the installation of the drive 50. It will be appreciated that, when the drive is fully installed in the preferred embodiment, the door closes and no components protrude beyond the doors.

Referring next to Figures 3A-B and 4, which show, respectively, the top plan view of the docking module 10 and a cross-sectional side view thereof taken along lines A-A in Figure 3B, the arrangement of the drive 50 within the docking module 10 may be better appreciated. In particular, the upper door 20A is shown partially open with the associated slide 100 moved forward so that the tab 120 engages the associated drive 50 and causes it to be disconnected from the PCB 80 at connector 90. In contrast, lower door 20B is shown fully closed with its associated drive 50 fully installed and a connector 92 on the drive 50 mated with the connector 90, and its associated slide 100 fully extended into the opening in optical switch 130.

With particular reference to Figures 3A-B, the camming operation of the door 20 with the slide 100 may be better appreciated. The end of the door 20 to which the slide is connected may be seen to include a cam surface 102, where the pin 112 connects to one end of the link 110. A second pin 104 may be seen to connect the other end of the link 110 to the slide 100. A third pin 106 connects the end of the cam surface 102 to the bezel 30, so that rotation of the door 20

about the pin 106 causes the slide to be pushed back or pulled forward. A protrusion 108 on the back surface of door 20 provides pressure against the drive 50 to urge the drive into the connector 92.

By appropriate configuration of the cam surface 102, the protrusion 108 and the door 20, a significant mechanical advantage can be provided for urging the connector 92 on the disk drive 50 into a mating position with the connector 90 on the PCB 80. Likewise, the tab 122 on the end of the slide 100 cooperates with the door 20 and the cam surface 102 to provide a mechanical advantage in ejecting the drive from the connector 90.

In a presently preferred embodiment a mechanical advantage of approximately 10:1 is provided. This allows use of connectors 90 and 92 with a relatively high retentive force while at the same time providing the user with relatively easy insertion and removal.

With reference next to Figure 5, the cooperation of the slide 100 with the optical switch 130 and drive 50 may be better appreciated. In Figure 5, slide 100 is shown with tab 120 juxtaposed against the back edge of drive 50 with connector 92 inserted into connector 90A, while slide end 140 has not yet engaged optical switch 130. The importance of this feature will be better appreciated in connection with Figure 6, but it is important at this point to recognize that, on insertion of the drive, electrical contact is made at connector 90A, which supplies power and data signals to the drive 50, prior to actuation of optical switch 130. Similarly, on drive removal, optical switch 130 or its functional equivalent is disengaged before the drive is actually removed from the connector 90A.

Referring next to Figure 6, the operation of the PCB 80 may be better understood, including the capability in the present invention for adding and removing drives while the host system is operating, or what has been referred to herein as "hot swappability." This feature is particularly helpful in implementing RAID drive arrays. More particularly, Figure 6 shows in schematic block diagram form the circuitry implemented on PCB 80. While the circuitry shown in Figure 6 is directed to disk drives using the AT or IDE interface, it will be appreciated by those skilled in the art that, given the teachings herein, other interfaces could readily be used. As will be appreciated in greater detail hereinafter, the basic function of the circuitry of Figure 6 is to isolate the IDE interface by means of a series of FET switch arrays when a drive is not fully installed in a slot with the door closed, although other means can be used to accomplish the necessary objectives, as will be discussed hereinafter.

The circuitry of Figure 6 includes a bus connector 200 for connection to the IDE bus of the host system (not shown) in a conventional manner. In contrast, each of two disk drives connects to either connector 90A or 90B. For purposes of this discussion, connector 90A will be defined as the master connector, while

connector 90B will be defined as the slave connector, as required by the CSEL signal 202A being tied low for the master and CSEL signal 202B tied high for the slave connector. In the typical embodiment the drive 50 inserted in each slot will sense the associated voltage and assume the appropriate role. Alternatively, the master/slave relationship can be defined in the drive itself, so that a drive is defined as a master or slave regardless of the slot into which it is inserted.

As discussed in connection with Figures 1 and 2, each slot has associated with it an optical switch 130. For purposes of simplicity, insertion of a drive 50 into the master slot will be explained; insertion of a drive into the slave slot involves identical components and operates in the same way.

The installation of a drive 50 involves cooperation between the mechanical components of the docking module and the circuitry of Figure 6. As a drive 50 is inserted by closing the door 20, the connector 210 on the back of the drive 50 makes connection with the associated connector 90A. This causes power to be applied, and the drive starts to spin up. As the door continues to close, the optical switch 130 opens and enables associated FET switch arrays 204 and 206 through a pair of buffers 208A-B. In the event the door is closed but no drive is installed, the optical switch 130 will not open because the ground path for the switch 130 is through the drive 50.

When FET switch arrays 204 and 206 close, the signals HD0 through HD15 are connected from the bus connector 200 to the drive connector 90A and thence to the drive 50. The FET switch arrays shown comprise eight switches each, and so eight HD signals are switched by each array, necessitating two switch arrays for a sixteen bit bus. However, it will be apparent that different sizes of arrays may be used, and different bus widths may be accommodated as appropriate for the host system.

The data returning from the drive 50 to the host bus is buffered by means of a permanently enabled register, for example a 74HCT541DW. In this manner the outputs of the drive cannot corrupt the data on the host system bus. While such protection from data corruption may not be required in all instances, in some embodiments of the invention it is difficult to predict the initial state of many of the signals, and thus such protection is a good design practice. It will be appreciated, given the foregoing teachings, that the fundamental steps in installing a drive are first, the application of power; second, connection of the drive signals to the host bus; and third, the installation of the drive is sensed, to cause the tri-state buffers to connect the drive to the bus.

Once the drive is installed into the system, the system recognizes the drive in the conventional manner, with the exception that the device driver always assigns a drive letter to a slot, whether or not a disk is present. The device driver further provides a soft-

ware interrupt looking for a drive to be installed in an empty slot. Once a drive is detected by the system, the software interrupt causes the device driver to do a Drive Inquiry command. The drive 50 responds with its configuration of heads, cylinders and sectors, which allows the IDE interface from the host to address it. The system then can automatically address the drive and its data without rebooting.

During operation, the drive 50 operates as a conventional drive. When the user desires to remove the drive, the door 20A is opened, causing the end 140 of the slide 120 to move out of the optical switch 130. This opens the FET switch arrays 204 and 206, disconnecting the drive 50 from the host system bus. The drive is then physically disconnected from the connector 90A, causing the drive to power down in a conventional manner.

Removal of the drive is essentially the reverse of installation. Opening the door causes the optical switch to open, which opens the FET switch arrays 204 and 206. The tab 122 then begins to pull the drive 50 out of the connector, and eventually disconnects power to the drive. At this point the bus is fully tri-stated, so that no data corruption occurs. Those skilled in the art will recognize that it is not necessary in all instances to provide tri-state buffers for the bus at the connector 200, although such a design is preferred in the exemplary embodiment described herein. In some instances it may be desirable to eliminate the FET switches and control the bus signals either directly from the drive or in another suitable manner.

Additionally, in some embodiments it may be preferable to provide a power-up delay between the time power is applied to the drive and the time the bus is enabled, to allow the drive to spin up and reach a stable state before the bus is enabled. In still other embodiments, it may be desirable to eliminate the optical switch 130. This can be accomplished in numerous ways, one of which is to use multi-sense pins on the connector 92. By using pins of three different lengths, with the power supply pins being longest, the bus pins being shorter, and the drive output pins the shortest, the power supply connection is made first, with the remaining connections being made at appropriate subsequent times.

Another alternative may include providing a data register into which data from a device driver may be written to the host system to allow additional control of docking module features. The register would typically be addressable at a free I/O location under the IDE (or alternative interface) address space, and could be configured to lock and unlock a solenoid to prevent or enable removal of the cartridge; provide LED status to indicate drive availability; enable or disable a Write Protect or Read Protect Bit, for security purposes; or enable a solenoid or other device for automated ejection of a drive 50. Other aspects which may be controlled by appropriate device drivers in-

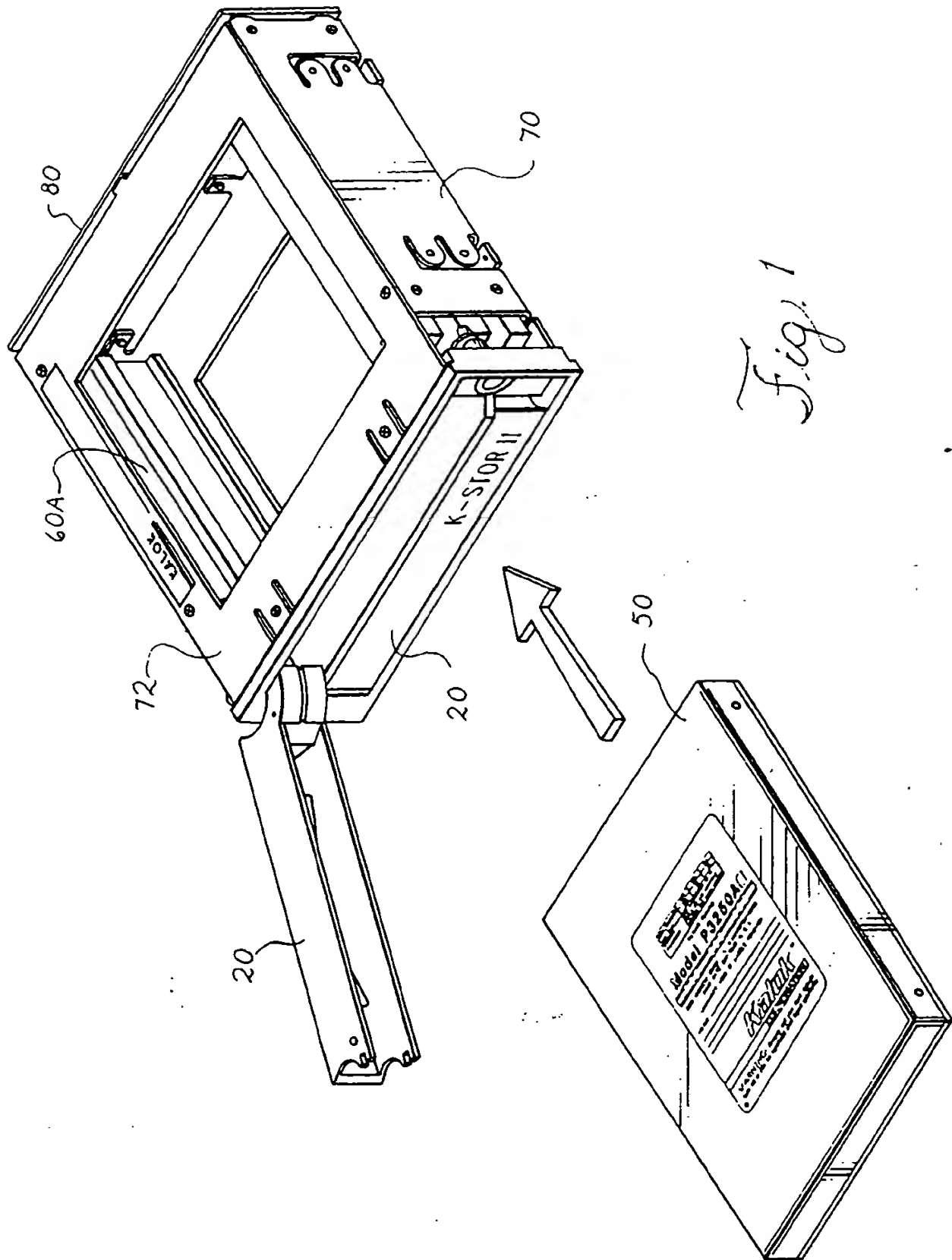
clude Flush Write Cache, Spin Down, Tri-State Bus, and so on.

Referring next to Figures 7A-7B, an alternative embodiment to the design of Figure 1 and 2 may be better understood. Figure 7A is a side elevational view of a docking module 300 capable of holding five drives 50; Figure 7B is a front view of the same module; each has certain internal components shown for clarity.

Referring next to Figure 8, a docking module 400 capable of holding ten removable disk drives 50 at a density of two drives per half-height bay is shown in side elevational view with certain elements revealed through the chassis.

Claims

1. A docking module for use with removable disk drives comprising:
 - a housing member having a plurality of slots therein, each slot configured to receive a removable disk drive;
 - a door rotatably mounted within the housing and configured to serve as a lever arm;
 - a slide mounted within the housing and connected to the door so that rotation of the door causes at least a portion of the slide to move forward and back within the housing;
 - connection means for electrically connecting the removable disk drive to a host; and
 - engagement means for urging the disk drive into the connection means when the door is closed, and urging the disk drive out of the connection means when the door is opened.
2. A method for providing hot swappability of disk drives comprising:
 - establishing a tri-state condition on a bus;
 - detecting the insertion of a disk drive;
 - supplying power to the disk drive; and
 - removing the tri-state condition by connecting the bus to the disk drive.
3. A docking module for use with removable disk drives comprising:
 - a housing having at least two slots, each capable of receiving internally therein a drive having a nominal width of 4 inches, a nominal depth of 5.75 inches, and a nominal height of one-half inch;
 - at least two doors for insertion of removable disk drives; and
 - connection means for connecting the disk drives to a host system.



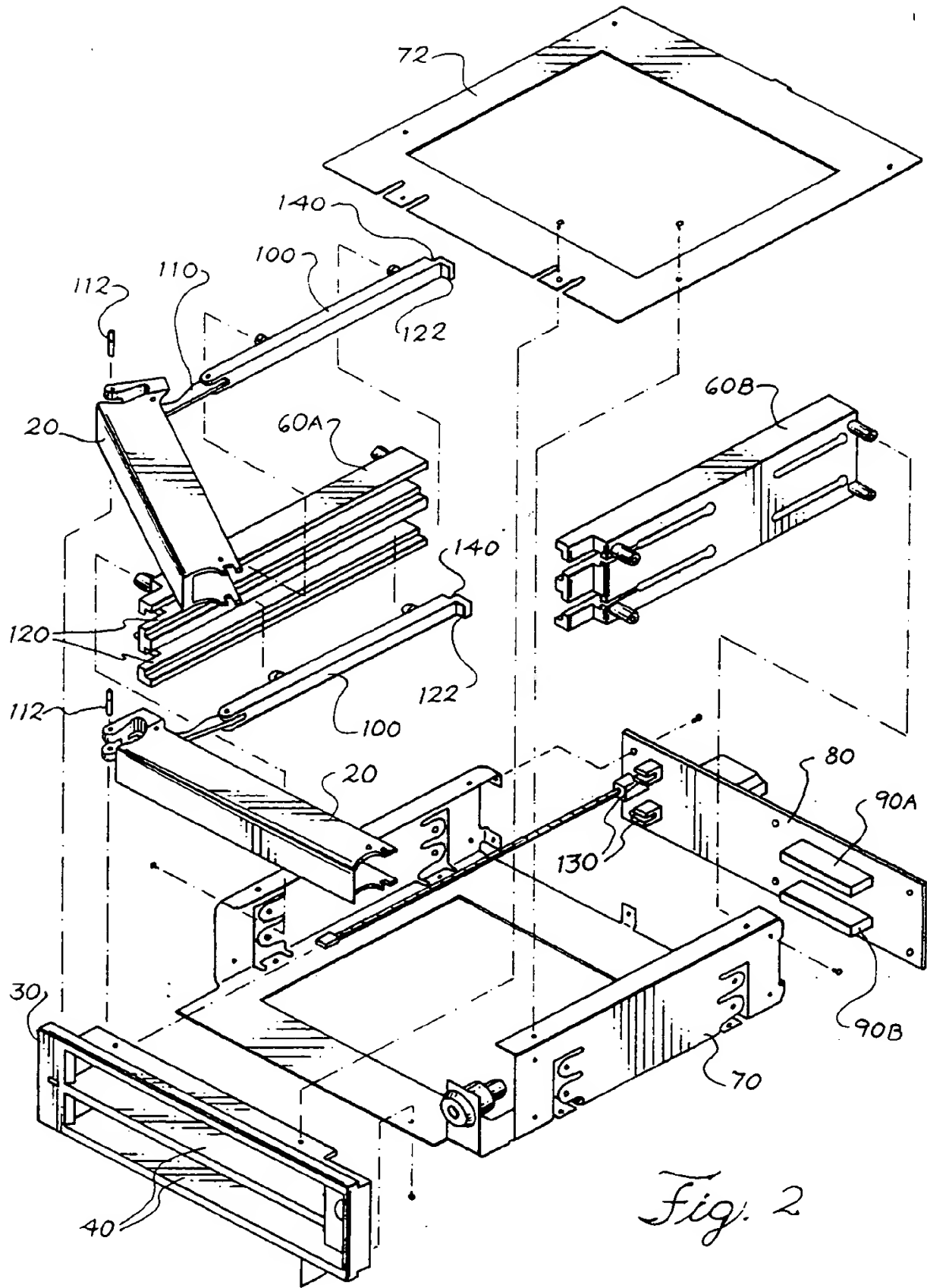


Fig. 2

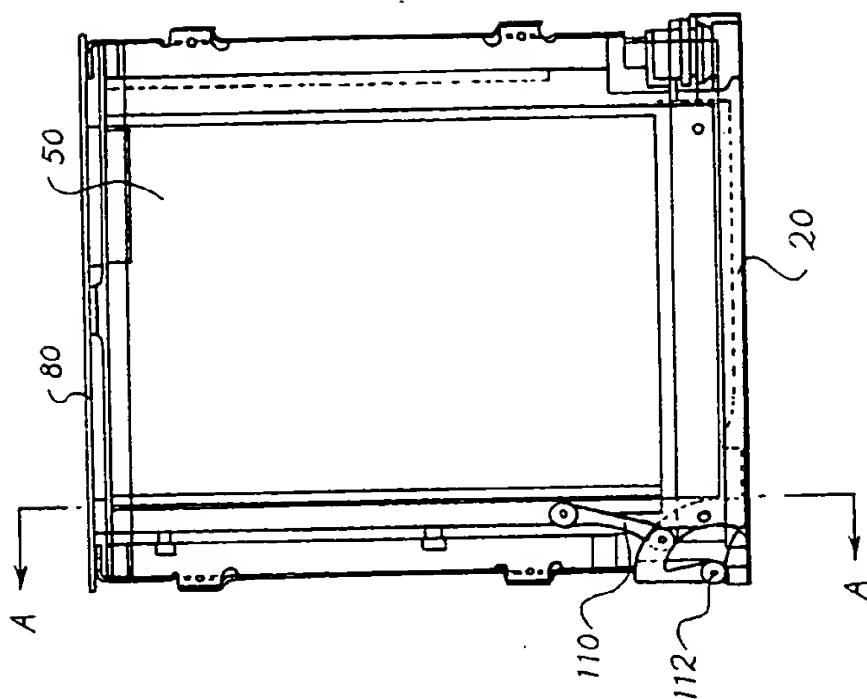


Fig. 3B

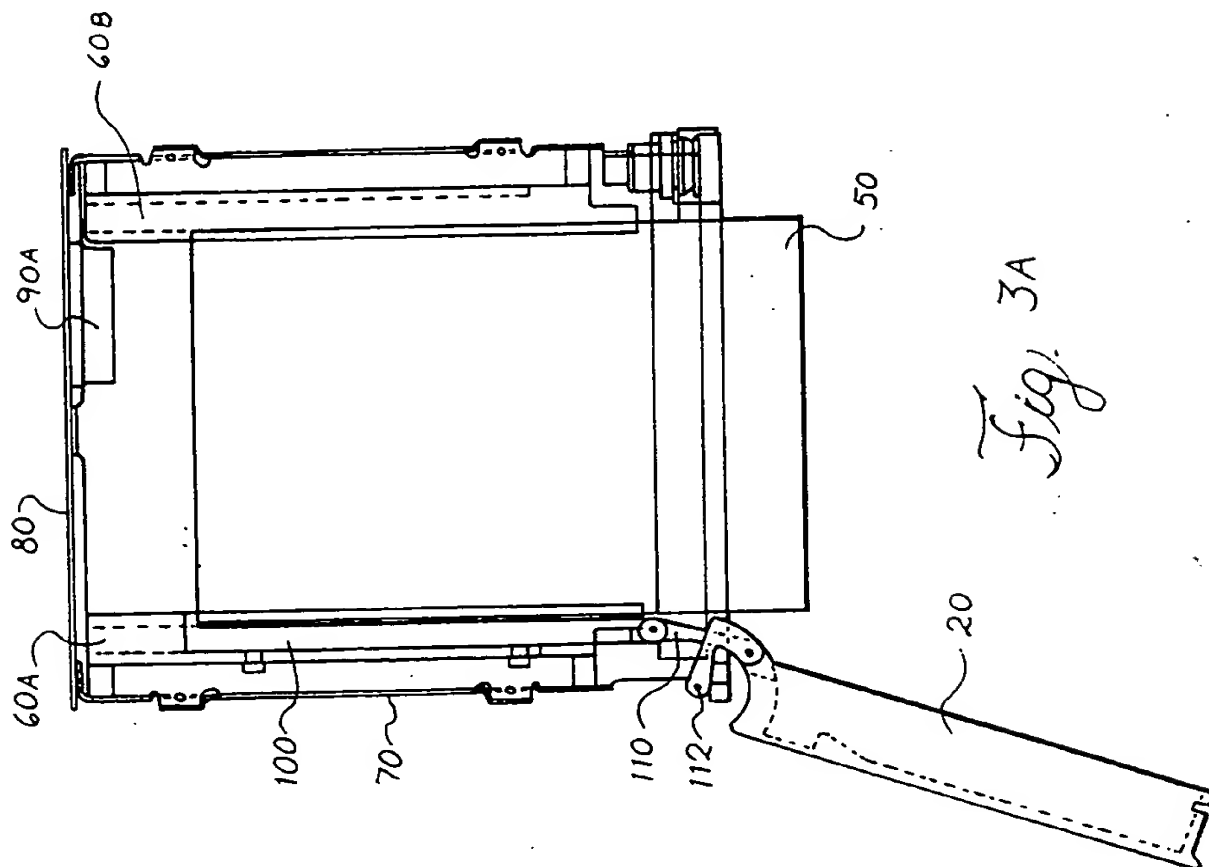
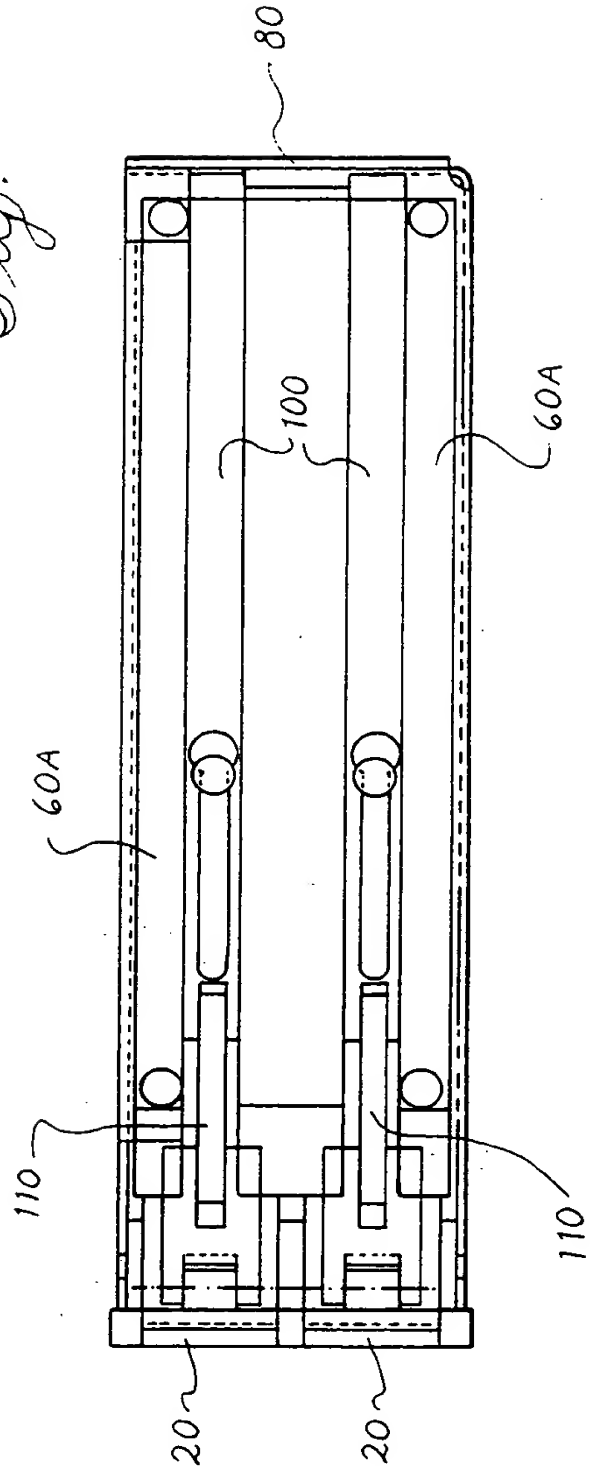
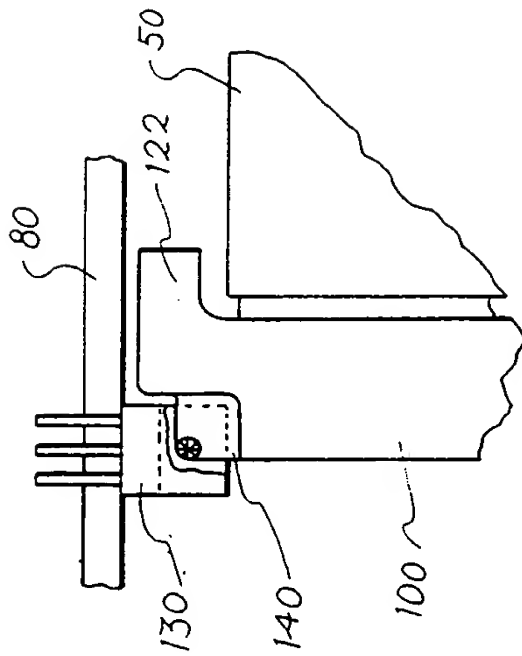
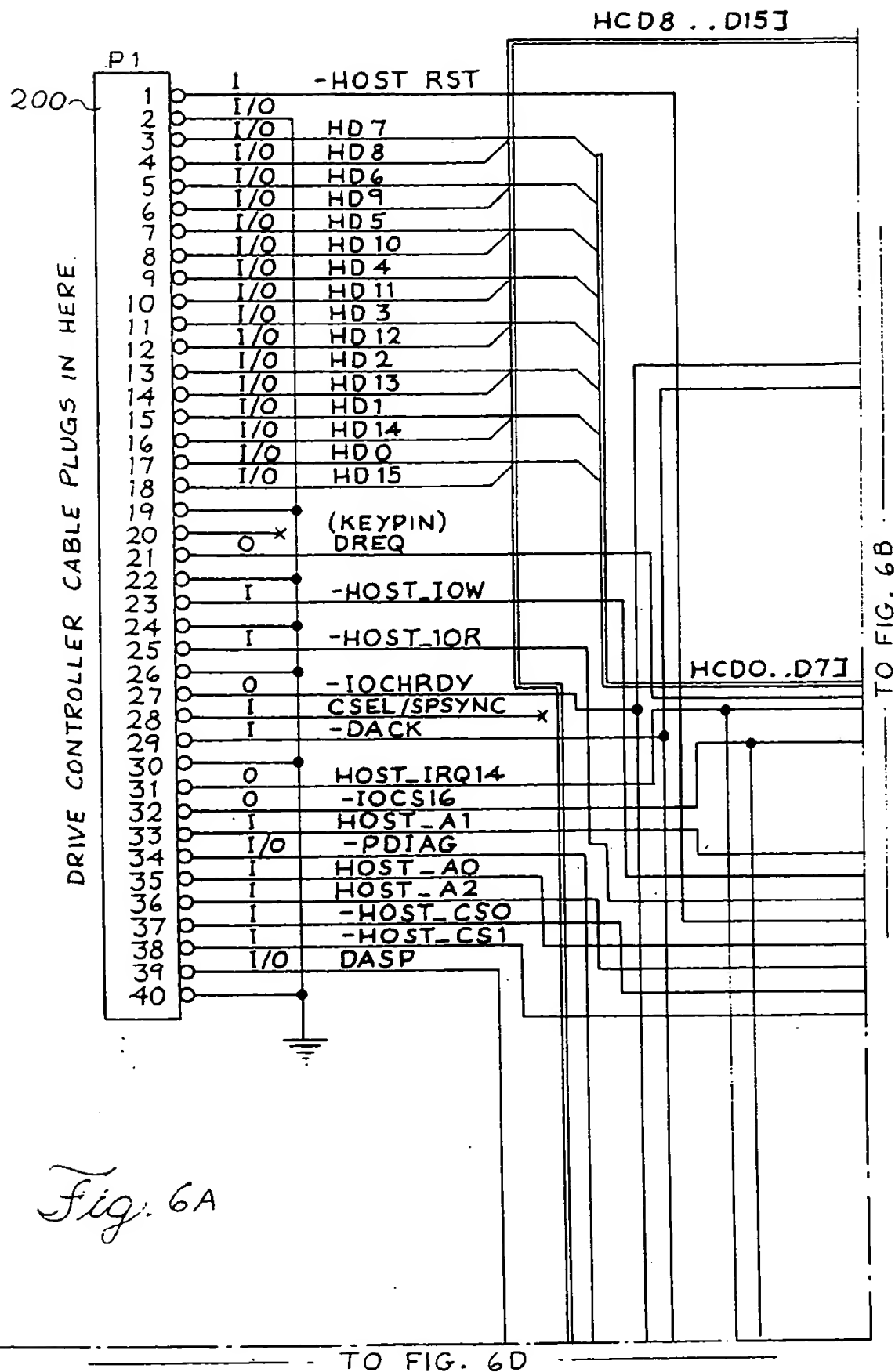
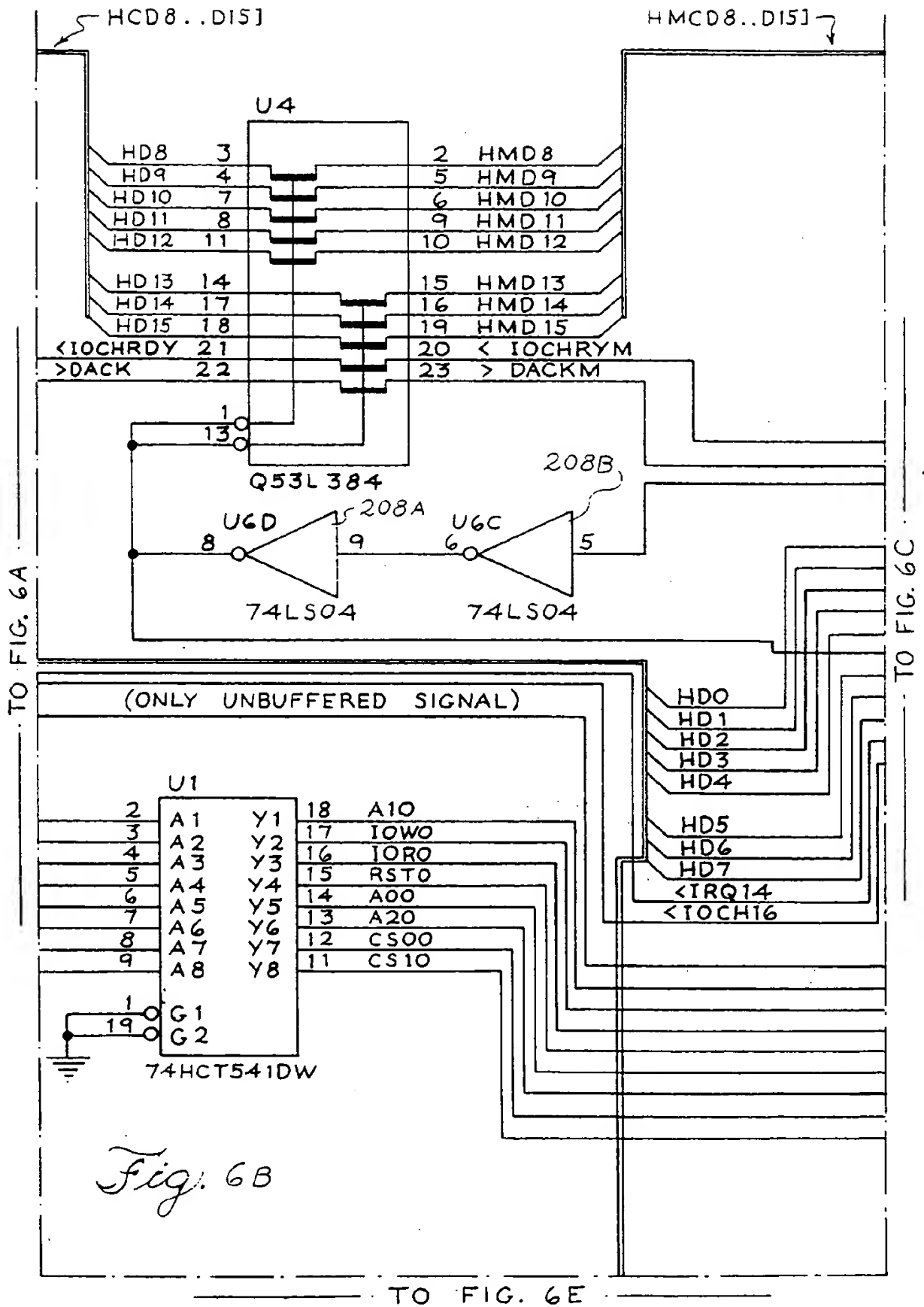
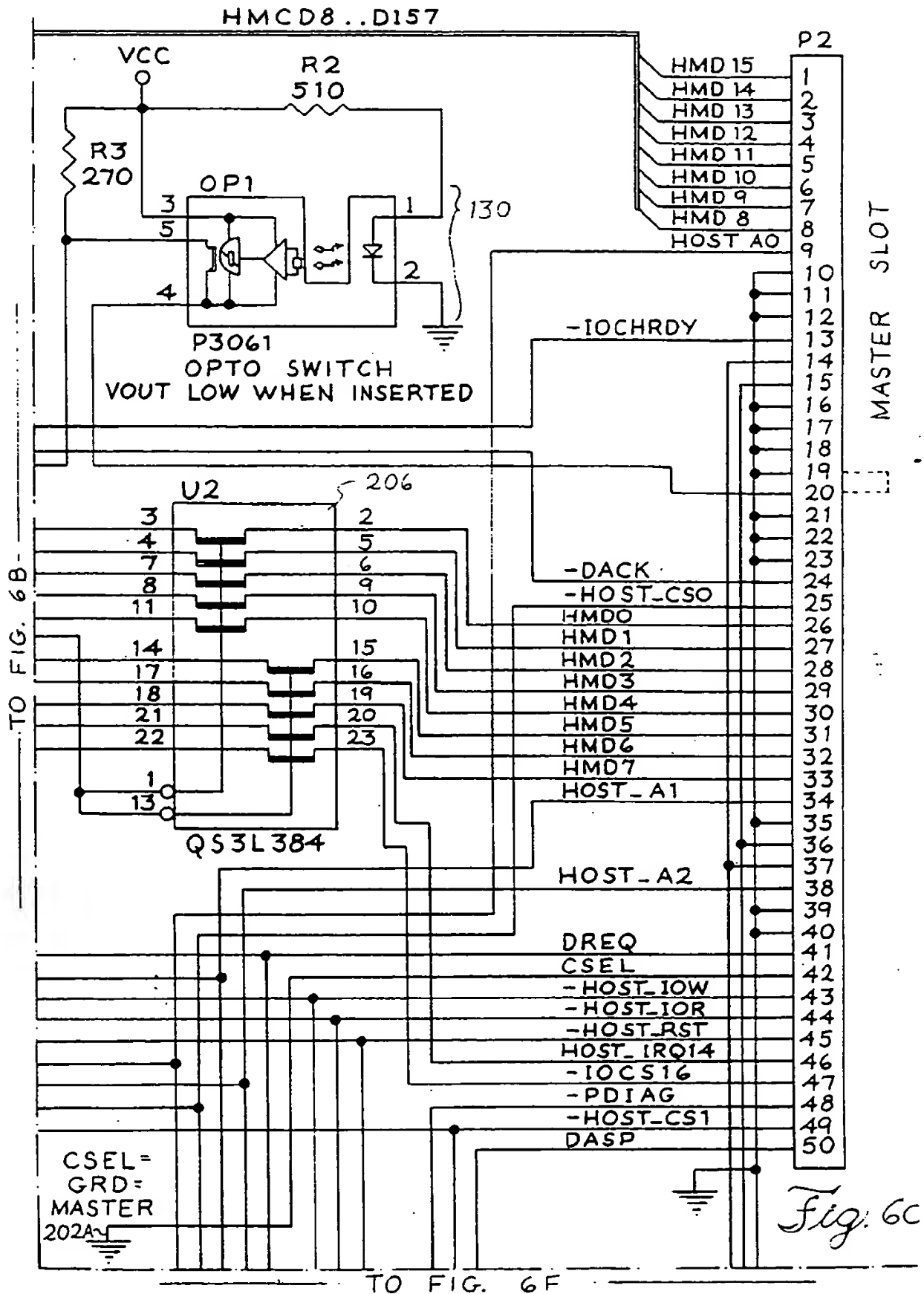


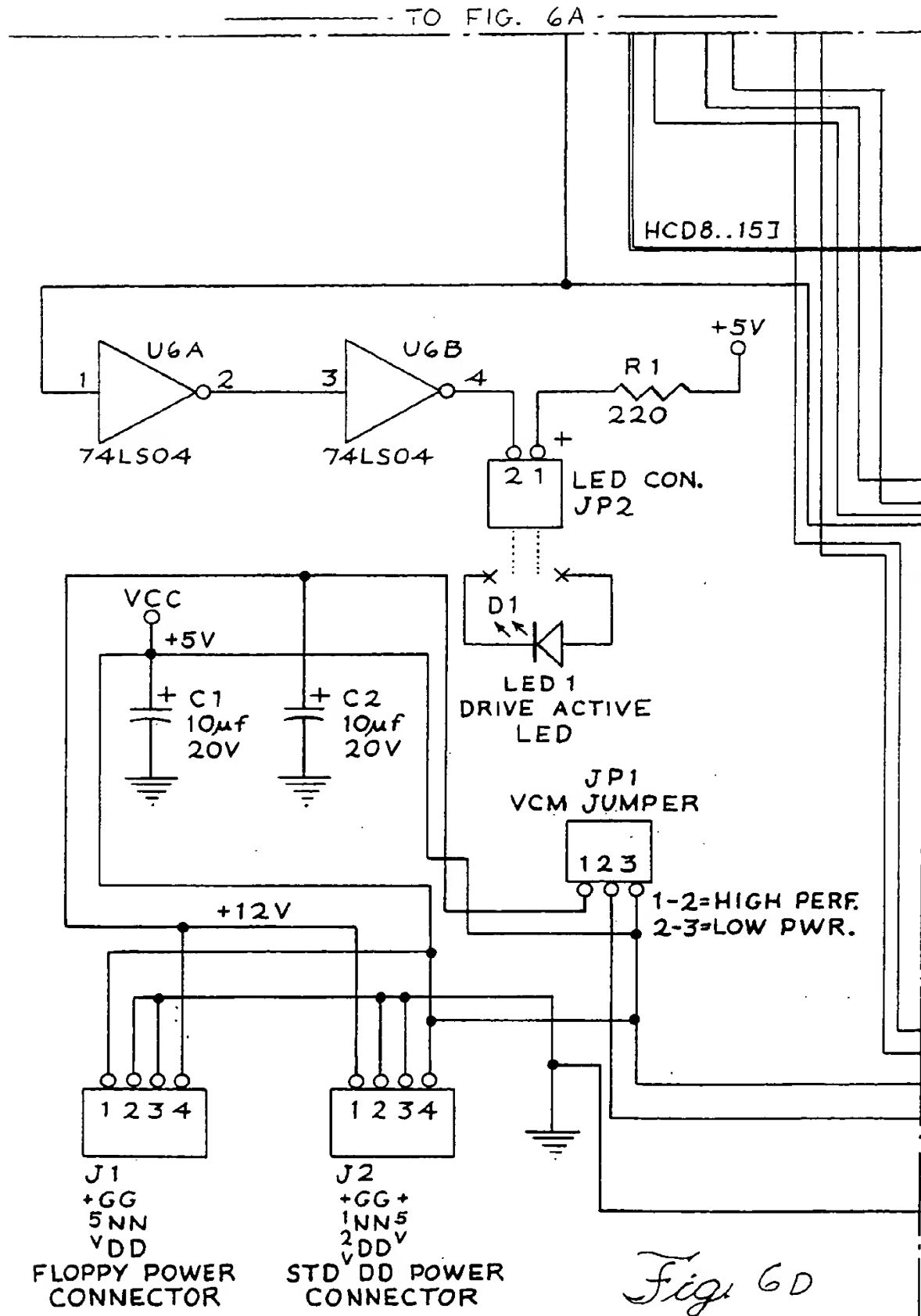
Fig. 3A

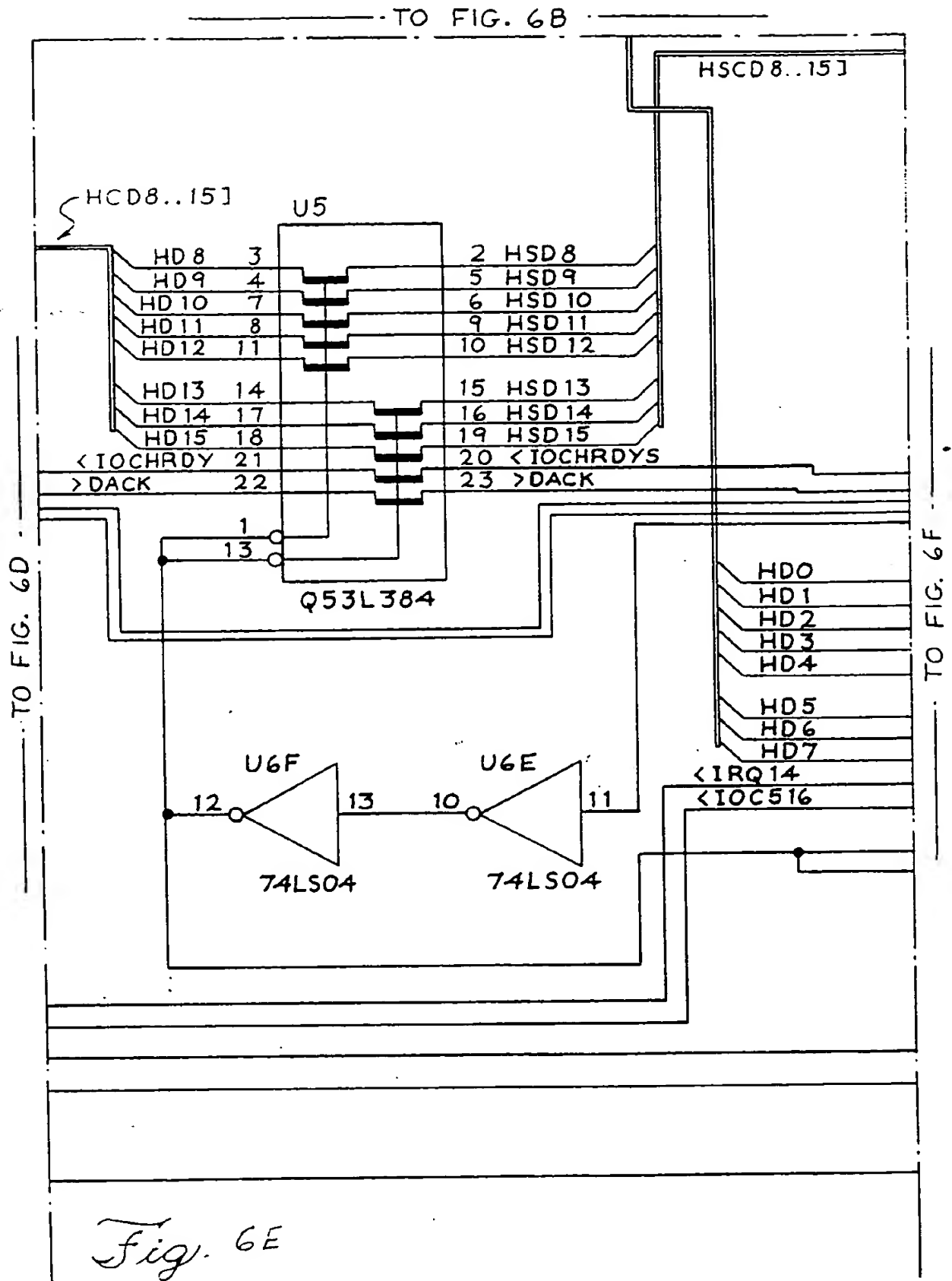


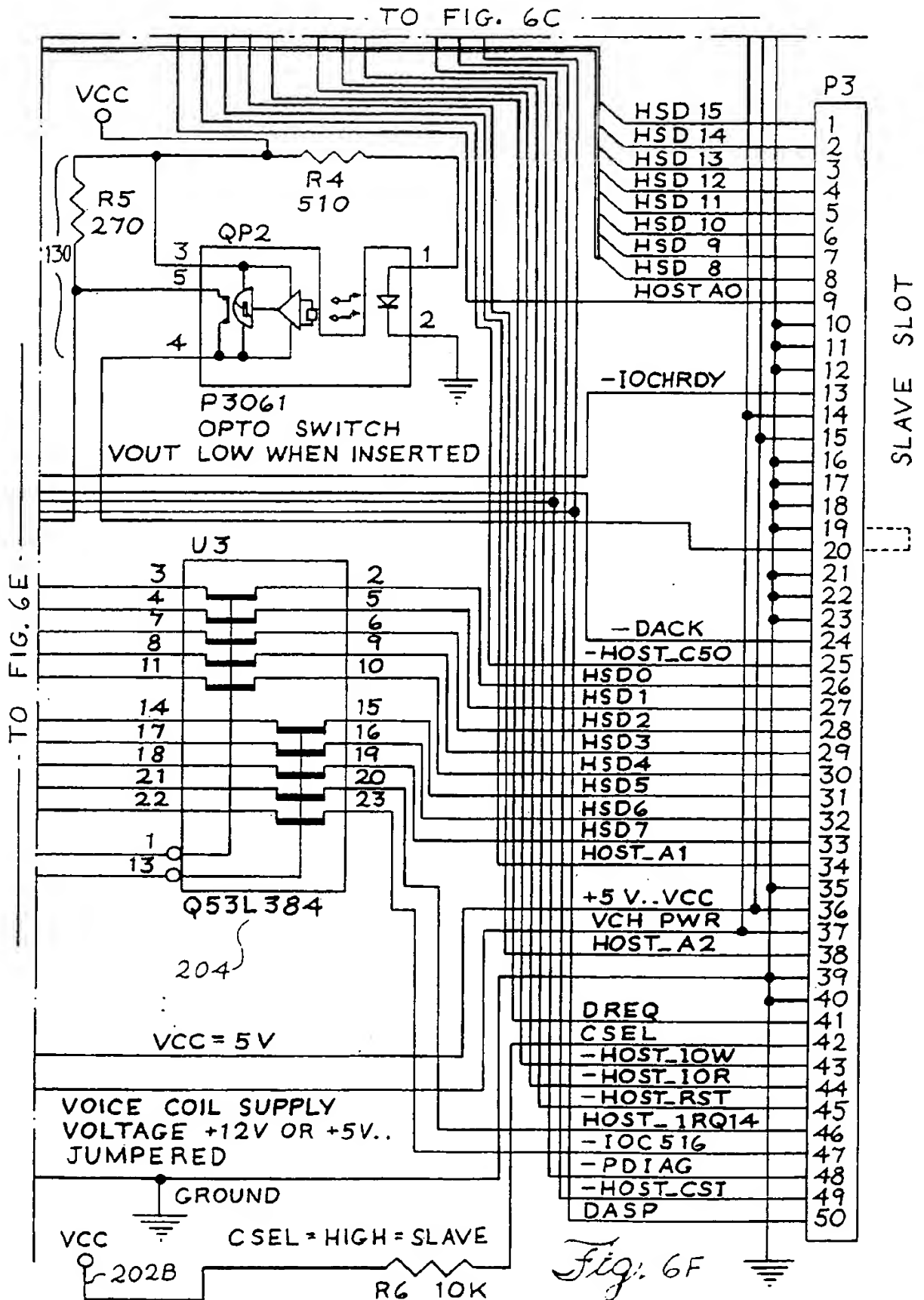












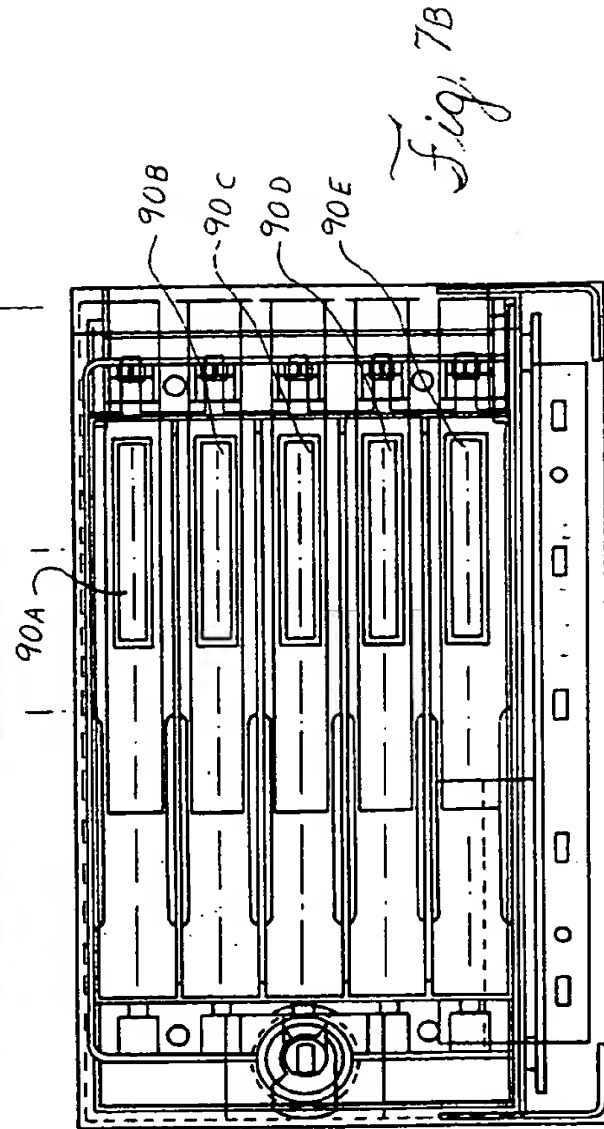
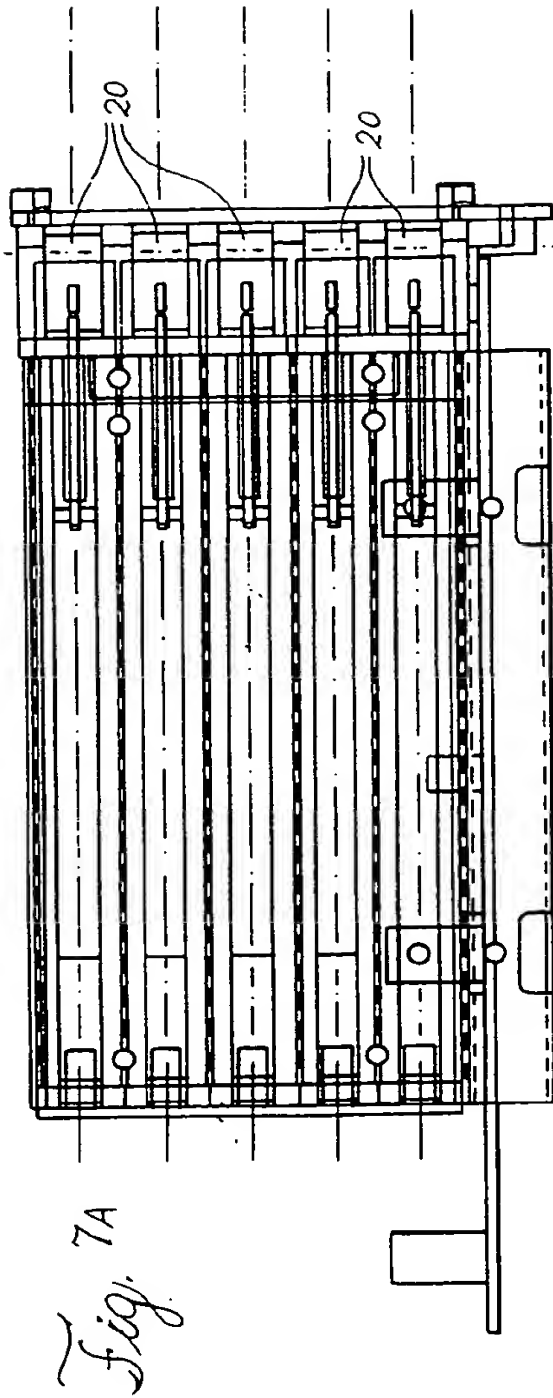
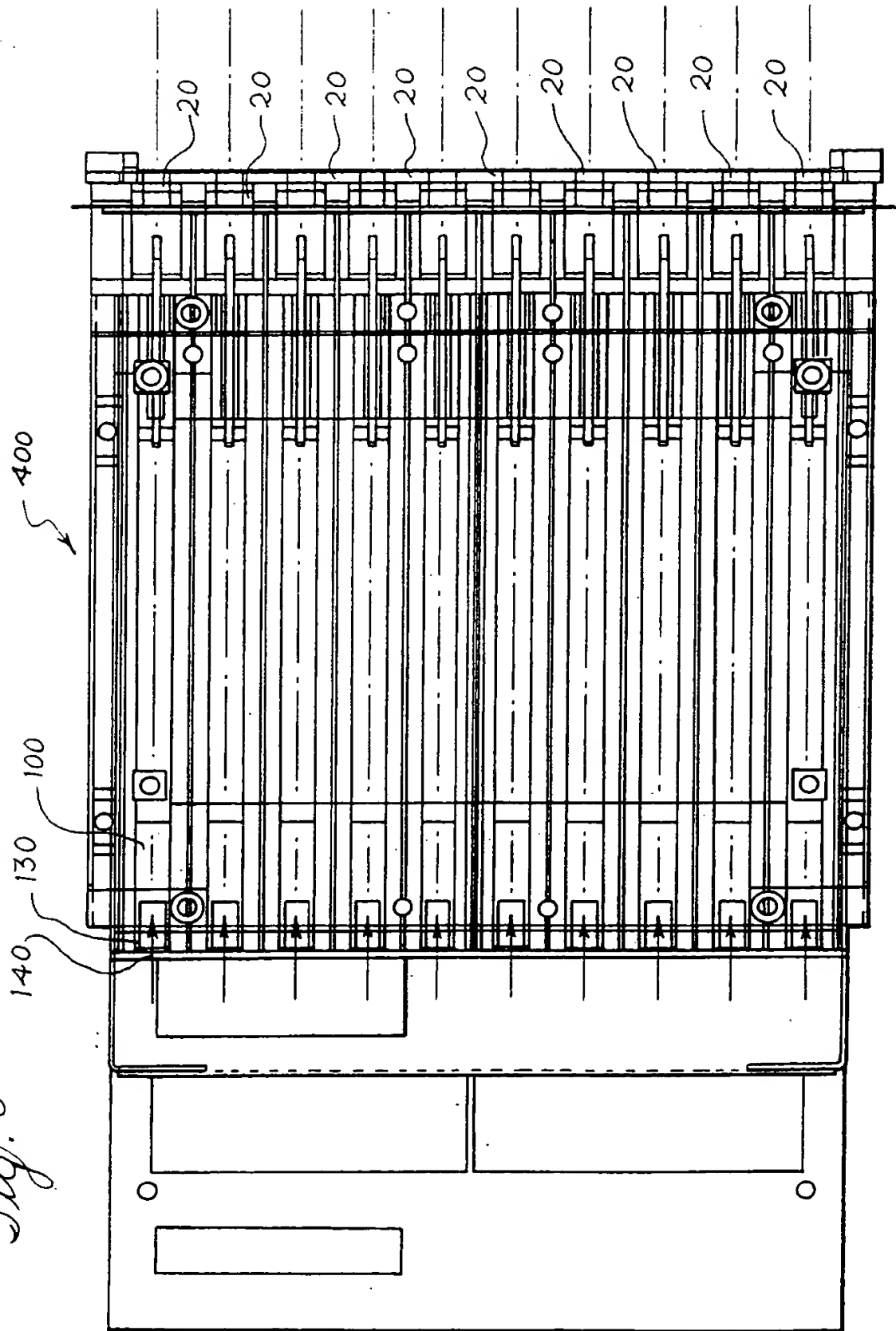


Fig. 8





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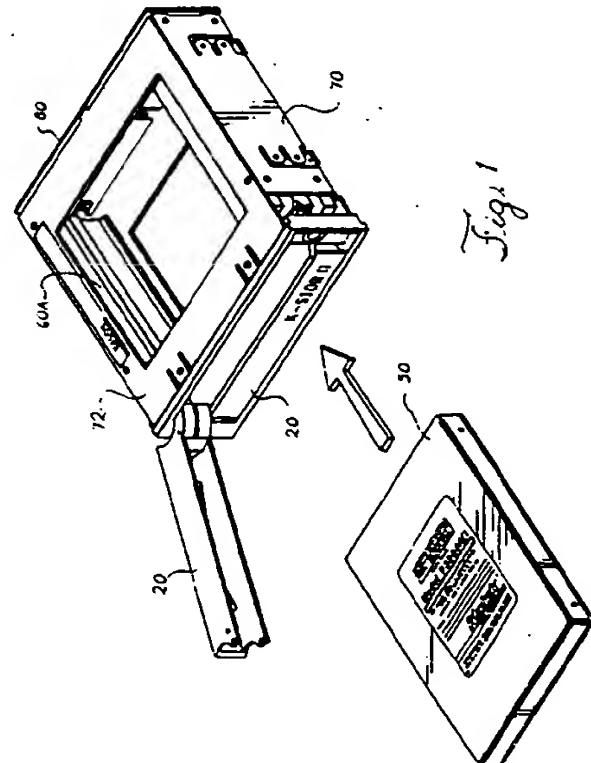
(71) Applicants:
• TEAC CORPORATION
Musashino-shi Tokyo (JP)
• Pont Peripherals Corporation
Sunnyvale, California 94086 (US)

(72) Inventors:
• Kaczeus, Steven L.
San Jose California 95125 (US)
• McKnight, Thomas
Los Gatos California 95030 (US)
• Edwards, Roy J.
Los Gatos California 95030 (US)

(74) Representative:
Cross, Rupert Edward Blount et al
BOULT, WADE & TENNANT
27 Furnival Street
London EC4A 1PQ (GB)

(54) Hot-swappable multi-cartridge docking module

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European Patent
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EUROPEAN SEARCH REPORT

Application Number
EP 94 30 8357

DOCUMENTS CONSIDERED TO BE RELEVANT					
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)		
X	US-A-5 229 919 (CHEN PAO-CHIN)	1	G11B33/12 G06F13/40		
Y	* the whole document *	3			
Y	EP-A-0 534 745 (KALOK CORPORATION) * column 3, line 37 - column 4, line 1 *	3			
X	RESEARCH DISCLOSURE, no. 302, June 1989 NEW YORK, US, page 440 'Insertion and removal of cards with power on while not disturbing the rest of the machine' * the whole document *	2			
A	EP-A-0 373 043 (BULL S.A.) * column 2, line 30 - column 4, line 54 *	2			
A	US-A-5 077 722 (GEIST ET AL) * abstract; figures 1,2,5 *	1,3			
A	EP-A-0 555 913 (IBM CORPORATION) * column 5, line 33 - column 8, line 56 *	1,3			
A	US-A-5 010 426 (KRENZ) * column 1, line 64 - column 2, line 16 *	1,3			
A,P	EP-A-0 589 708 (COMPAQ COMPUTER CORPORATION) * column 10, line 29 - column 12, line 36 *	1,3	<table border="1"> <thead> <tr> <th>TECHNICAL FIELDS SEARCHED (Int.Cl.6)</th> </tr> </thead> <tbody> <tr> <td>G11B G06F</td> </tr> </tbody> </table>	TECHNICAL FIELDS SEARCHED (Int.Cl.6)	G11B G06F
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The present search report has been drawn up for all claims					
Place of search THE HAGUE		Date of completion of the search 12 December 1995	Examiner McDonagh, F		
<table border="0"> <tr> <td style="vertical-align: top;"> CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document </td> <td style="vertical-align: top;"> T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document </td> </tr> </table>				CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document	T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document
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EPO FORM 1503 (01/95) (PWO/001)



European Patent
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CLAIMS INCURRING FEES

The present European patent application comprised at the time of filing more than ten claims.

- ☐ All claims fees have been paid within the prescribed time limit. The present European search report has been drawn up for all claims.
- ☐ Only part of the claims fees have been paid within the prescribed time limit. The present European search report has been drawn up for the first ten claims and for those claims for which claims fees have been paid, namely claims:
- ☐ No claims fees have been paid within the prescribed time limit. The present European search report has been drawn up for the first ten claims.

LACK OF UNITY OF INVENTION

The Search Division considers that the present European patent application does not comply with the requirement of unity of invention and relates to several inventions or groups of inventions, namely:

See Sheet B.

- ☒ All further search fees have been paid within the fixed time limit. The present European search report has been drawn up for all claims.
- ☐ Only part of the further search fees have been paid within the fixed time limit. The present European search report has been drawn up for those parts of the European patent application which relate to the inventions in respect of which search fees have been paid, namely claims:
- ☐ None of the further search fees has been paid within the fixed time limit. The present European search report has been drawn up for those parts of the European patent application which relate to the invention first mentioned in the claims, namely claims:



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EP 94 30 8357 -B-

LACK OF UNITY OF INVENTION

The Search Division considers that the present European patent application does not comply with the requirement of unity of invention and relates to several inventions or groups of inventions, namely:

1. Claims 1,3 : Docking module for use with removable disk drive.
2. Claim 2 : Method for providing hot swappability of disk drives.

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